

Conflict of Interest Peer Review Guidance

Investigator:

Projects/Sponsors:

Link to the University [Conflict of Interest Policy](#) (1-006)

Approved Management Plan:

Questions to Assist Peer Reviewers in Meeting with Investigators

1. Does the PEER REVIEWER have any potential conflicts of interest regarding this project? [If yes, please contact the Conflict of Interest Office before proceeding.]
2. Has the conflict of interest been disclosed to all journals where articles about this research were submitted? [If no, why not?]
3. Has the conflict of interest been disclosed in all public presentations about this research? [If no, why not?]
4. Has the conflict of interest been disclosed to all potential research participants in the consent documents and in the consent process? [If no, why not?]
5. Who is obtaining informed consent from potential research participants? Do any of these individuals have potential conflicts of interest? [If so, please describe.]
6. Has the INVESTIGATOR been involved in obtaining informed consent from any potential research participants? [If so, what were the circumstances?]
7. Have any of the INVESTIGATOR's University subordinates, students or postdoctoral associates participated in activities involving XXX Company? [If yes, who is evaluating them? What is the evaluation based on?]
8. Have students, postdoctoral associates, or other subordinates been informed of the INVESTIGATOR's conflict and given a choice about whether they want to work on the project or not? Have they been informed of their right to discuss concerns about the situation with _____? [If no, why?]
9. Has submission for publication of any student or subordinate's work on this project been delayed beyond the period of the University agreement for review of intellectual property issues (up to 6 months)? [If yes, why?]

10. Is anything being purchased by the University from XXX Company? Who makes those decisions? Who is signing for the purchases?
11. Has the INVESTIGATOR been involved in any financial decisions at XXX Company related to this project? If yes, what kinds of decisions? Was anyone else involved?
12. How is the INVESTIGATOR maintaining objectivity in data acquisition, management and/or analysis?
13. Have the conclusions of the project been financially advantageous to XXX Company? (If yes, does monitor agree that conclusions are scientifically sound?) Have conclusions financially detrimental to XXX Company been rejected for other than scientific reasons? If so, why?
14. Has all new intellectual property been disclosed to the Technology Commercialization Office?
15. In your estimation, has the INVESTIGATOR managed this conflict of interest effectively?

Please provide any additional information that is relevant to the management of this conflict of interest.